

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : **Oswal Greentech Limited**
- 2 Scrip Code/Name of Scrip/Class of Security : Scrip Code:13114/Class of Security: Equity
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : Quarter ended 30.09.2020
- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

** If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.*

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid- up equity shares held (IV)	No. of partly paid- up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class :Equity	Class eg:y	Total								
(A)	Promoter & Promoter Group	4	165196835	0	0	165196835	64.330	165196835	0	165196835	64.330	0	64.330	0	0.000	0	0.000	165191835
(B)	Public	192765	91612324	0	0	91612324	35.670	91612324	0	91612324	35.670	0	35.670	0	0.000	2746430	3.000	71417139
(C)	Non Promoter - Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	TOTAL	192769	256809159	0	0	256809159	100.000	256809159	0	256809159	100.000	0	100.000	0	0.000	2746430	3.000	236608974

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid- up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class: Equity	Class y	Total								
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		2	51549618	0	0	51549618	20.070	51549618	0	51549618	20.070	0	20.070	0	0.000	0	0.000	51544618
	ARUNA OSWAL	AAGPO8748M	1	51544618	0	0	51544618	20.070	51544618	0	51544618	20.070	0	20.070	0	0.000	0	0.000	51544618
	PANKAJ OSWAL	Not Available	1	5000	0	0	5000	0.000	5000	0	5000	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other																		
	Bodies Corporate		2	113647217	0	0	113647217	44.250	113647217	0	113647217	44.250	0	44.250	0	0.000	0	0.000	113647217
	OSWAL AGRO MILLS LIMITED	AAACO1664M		91384203	0	0	91384203	35.58	91384203	0	91384203	35.580	0	35.580	0	0.000	0	0.000	91384203
	P C MEDIA SYSTEMS LIMITED	AAACP4549A		22263014	0	0	22263014	8.67	22263014	0	22263014	8.670	0	8.670	0	0.000	0	0.000	22263014
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		4	165196835	0	0	165196835	64.330	165196835	0	165196835	64.330	0	64.330	0	0.000	0	0.000	165191835
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	165196835	0	0	165196835	64.330	165196835	0	165196835	64.330	0	64.330	0	0.000	0	0.000	165191835

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid- up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholdin g % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b) (Not Applicable)	
									Class Equity	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds		7	21475	0	0	21475	0.008	21475	0	21475	0.008	0	0.008	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		6	20527315	0	0	20527315	7.993	20527315	0	20527315	7.993	0	7.993	0	0.000	0	0.000	20527315
	SRI SILVERDALE OPPORTUNITIES FUND	AAOC5551P		10368170	0	0	10368170	4.037	10368170	0	10368170	4.037	0	4.037	0	0.000	0	0.000	10368170
	AEON SUSTAINABLE GROWTH FUND	AANCA5826G		3969297	0	0	3969297	1.545	3969297	0	3969297	1.545	0	1.545	0	0.000	0	0.000	3969297
	ALBULA INVESTMENT FUND LTD	AAHCA3597Q		3650919	0	0	3650919	1.421	3650919	0	3650919	1.421	0	1.421	0	0.000	0	0.000	3650919
(f)	Financial Institutions/Banks		27	20815	0	0	20815	0.008	20815	0	20815	0.008	0	0.008	0	0.000	0	0.000	5245
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		40	20569605	0	0	20569605	8.009	20569605	0	20569605	8.009	0	8.009	0	0.000	0	0.000	20532560
																	0	0.000	
(2)	Central Government/State Government(s)/President of India		1	100	0	0	100	0.000	100	0	100	0.000	0	0.000	0	0.000	0	0.000	100
	Sub-Total (B)(2)		1	100	0	0	100	0.000	100	0	100	0.000	0	0.000	0	0.000	0	0.000	100
(3)	Non-Institutions																		
(a)	Individuals																		
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		188090	41006084	0	0	41006084	15.967	41006084	0	41006084	15.967	0	15.967	0	0.000	489421	0.534	21865891
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		118	6166515	0	0	6166515	2.401	6166515	0	6166515	2.401	0	2.401	0	0.000	654430	0.714	6082115
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other																		
	Bodies Corporate		635	20107848	0	0	20107848	7.829	20107848	0	20107848	7.829	0	7.829	0	0.000	1485803	1.621	20005222
	ALLIANCE TECHNO PROJECTS LTD	AAGCA2400F		6346327	0	0	6346327	2.471	6346327	0	6346327	2.471	0	2.471	0	0.000	0	0.000	6346327
	BHAWANI TECHNO PROJECTS LTD	AAACB4090J		4854925	0	0	4854925	1.890	4854925	0	4854925	1.890		1.890	0	0.000	0	0.000	4854925
	APPU IMPEX LTD	AABCA1566H		3686208	0	0	3686208	1.435	3686208	0	3686208	1.435	0	1.435	0	0.000	0	0.000	3686208
	Non-resident Indians		2979	1352891	0	0	1352891	0.526	1352891	0	1352891	0.526	0	0.526	0	0.000	0	0.000	522265
	Resident Indian HUF		863	2371791	0	0	2371791	0.923	2371791	0	2371791	0.923	0	0.923	0	0.000	116776	0.128	2371496
	Trusts		2	6040	0	0	6040	0.002	6040	0	6040	0.002	0	0.002	0	0.000	0	0.000	6340
	Clearing Memebers		37	31450	0	0	31450	0.012	31450	0	31450	0.012	0	0.012	0	0.000	0	0.000	31450
	Others		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	IEPF		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		192724	71042619	0	0	71042619		71042619	0	71042619	27.663	0	27.663	0	0.000	2746430	3.000	50884479
	Total Public Shareholding (B)=(B){1}+(B){2}+(B){3}		192765	91612324	0	0	91612324	35.673	91612324	0	91612324	35.670	0	35.670	0	0.000	2746430	3.000	71417139

Details of Shares which remain unclaimed may be given here along with details such as number of Shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on Website of Stock Exchange(s)

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Custodian/ DR Holder	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA		0
(2)	Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014	0	0	0	0	0	0	0.000	0	0	0		0	0.000	0	0.000	NA		0
	Total Non-Promoter - Non-Public Shareholding (C)=(C)(1)+(C)+(2)	0	0	0	0	0	0	0.000	0	0	0		0	0.000	0	0.000	0.000		0

Note:

(1) PAN would not be displayed on Website of Stock Exchange(s)

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table V - Statement Showing Details of Significant beneficial Owners (SBOs)

Sr .No.	Details of the SBO			Details of the Registered Owner			Details of Holding/ exercise of right of the SBO in the reporting company, Whether direct or Indirect			Date of creation / acquisition of significant beneficial interest
	(I)			(II)			(III)			(IV)
	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Whether by Virtue of :			
							Shares		%	
							Voting Rights		%	
							Rights of distributable dividend or any other distribution		%	
							Exercise of control			
							Exercise of significant influence			
NIL										

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

* This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.