

Format of holding of specified securities

OSWAL GREEN TECH LIMITED

1. Name of Listed Entity:
2. Scrip Code/Name of Scrip/Class of Security
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

30/09/2016

Particulars	Yes*	No*
1. Whether the Listed Entity has issued any partly paid up shares?		No
2. Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3. Whether the Listed Entity has any shares against which depository receipts are issued?		No
4. Whether the Listed Entity has any shares in locked-in?		No
5. Whether any shares held by promoters are pledge or otherwise encumbered?		No

** If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.*

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrant)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class:Equity	Class:Equity	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	4	165196835	0		165196835	64.33					0	64.33	0	0	0	0	165191835
(B)	Public	207275	91612324	0		91612324	35.67					0	35.67	0	0			69328972
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs	0	0	0		0	NA					0	NA	0	0			0
(C2)	Shares held by Employee Trusts	0	0			0	0					0	0	0	0			0
	Total	207279	256809159	0		256809159	100					0	100	0	0	0	0	234520807



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individual/Hindu Undevided Family		2	51549618	0		51549618	20.07				0	20.07	0	0.00	0	0.00	51544618	
	ARUNA OSWAL	AAGPO8748M		51544618	0		51544618	20.07					20.07	0	0.00	0	0.00	51544618	
	PANKAJ OSWAL	NOT AVAILA		5000	0		5000	0					0.00	0	0.00	0	0.00	0	
(b)	Central Government/State Government(s)		0	0	0		0	0					0.00	0	0.00	0	0.00	0	
(c)	Financial Institutions/Banks		0	0	0		0	0					0.00	0	0.00	0	0.00	0	
(d)	Any Other (Specity)		2	113647217	0		113647217	44.25					44.25	0	0.00	0	0.00	113647217	
	OSWAL AGRO MILLS LTD	AAACO1664M		91384203	0		91384203	35.58					35.58	0	0.00	0	0.00	91384203	
	P C MEDIA SYSTEMS LIMITED	AAACP4549A		22263014	0		22263014	8.67					8.67	0	0.00	0	0.00	22263014	
	Sub Total (A)(1)		4	165196835	0		165196835	64.33					64.33	0	0.00	0	0.00	165191835	
2	Foreign																		
(a)	Individual/Non Resident Individual/Foreing Individual		0	0	0		0	0					0.00	0	0.00	0	0.00	0	
(b)	Government		0	0	0		0	0					0.00	0	0.00	0	0.00	0	
(c)	Institutions		0	0	0		0	0					0.00	0	0.00	0	0.00	0	
(d)	Foreign Portfolio Investor		0	0	0		0	0					0.00	0	0.00	0	0.00	0	
(e)	Any Other (Specity)		0	0	0		0	0					0.00	0	0.00	0	0.00	0	
	Sub Total (A)(2)		0	0	0		0	0					0.00	0	0.00	0	0.00	0	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	165196835	0		165196835	64.33					64.33	0	0.00	0	0.00	165191835	



Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding conv	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)	
1	Institutions																		
	(a) Mutual Fund		7	25700	0		25700	0.01			0	0.01	0	0.00	NA			0	
	(b) Venture Capital Funds		0	0	0		0	0			0	0	0	0.00	NA			0	
	(c) Alternate Investment Funds		0	0	0		0	0			0	0	0	0.00	NA			0	
	(d) Foreign Venture Capital Investor		0	0	0		0	0			0	0	0	0.00	NA			0	
	(e) Foreign Portfolio Investors		9	24711117	0		24711117	9.62			0	9.62	0	0.00	NA			24711117	
	SRI SILVERDALE OPPORTUNITIES FUND	AAOC5551P		10368170	0		10368170	4.04			0	4.04	0	0.00	NA			10368170	
	AEON SUSTAINABLE GROWTH FUND	AANCA5826G		5533516	0		5533516	2.15			0	2.15	0	0.00	NA			5533516	
	CLAREVILLE CAPITAL OPPORTUNITIES MASTER FUND LIMITED	AADCC2348D		4336635	0		4336635	1.69			0	1.69	0	0.00	NA			4336635	
	ALBULA INVESTMENT FUND LTD	AAHCA3597Q		3650919	0		3650919	1.42			0	1.42	0	0.00	NA			3650919	
	(f) Financial Institutions/Banks		29	75878	0		75878	0.03			0	0.03	0	0.00	NA			59598	
	(g) Insurance Companies		0	0	0		0	0			0	0	0	0.00	NA			0	
	(h) Provident Fund/Pensions Funds		0	0	0		0	0			0	0	0	0.00	NA			0	
	(i) Any Other Specify		2	3017749	0		3017749	1.18			0	1.18	0	0.00	NA			3017749	
	Sub Total (B)(1)		47	27830444	0		27830444	10.84			0	10.84	0	0.00	NA			27788464	
2	Central Government/State Government/President of India		1	100	0		100	0			0	0	0	0.00	NA			100	
	Sub Total (B)(2)		1	100	0		100	0			0	0	0	0.00	NA			100	
3	Non-Institutions																		
	(a) 1. Individual Shareholders holding Nominal Share Capital Up to 2 Lacs		202362	41307244	0		41307244	16.08			0	16.08	0	0.00	12402	0.00		20163280	
	2. Individual Shareholders holding Nominal Share Capital Above 2 Lacs		50	3428017	0		3428017	1.33			0	1.33	0	0.00	29605	0.01		3319142	
	(b) NBFCs Registered with RBI		0	0	0		0	0			0	0	0	0.00	0	0.00		0	
	(c) Employee Trusts		0	0	0		0	0			0	0	0	0.00	0	0.00		0	
	(d) Overseas Depositories (Holding DRs) (Balancing Figure)		0	0	0		0	0			0	0	0	0.00	0	0.00		0	
	(e) Any other (Specify)																		
	(e1) Bodies Corporate		888	16374304	0		16374304	6.38			0	6.38	0	0.00	378504	0.15		16262997	
	BHAWANI TECHNO PROJECTS LTD	AAACB4090J		4850000	0		4850000	1.89			0	1.89	0	0.00	NA			4850000	
	APPU IMPEX LTD	AABCA1566H		3686208	0		3686208	1.44			0	1.44	0	0.00	NA			3686208	
	(e2) Non Resident Indians		3078	1342324	0		1342324	0.52			0	0.52	0	0.00	0	0.00		465098	
	(e3) Resident Indian Huf		815	1026321	0		1026321	0.4			0	0.4	0	0.00	1700	0.00		1026321	
	(e4) Trusts		0	0	0		0	0			0	0	0	0.00	0	0.00		0	
	(e5) Clearing Members/House		34	303570	0		303570	0.12			0	0.12	0	0.00	38000	0.01		303570	
	(e6) Others		0	0	0		0	0			0	0	0	0.00	0	0.00		0	
	Sub Total (B)(3)		207227	63781780	0		63781780	24.84			0	24.84	0	0.00	460211	0.18		41540408	
	Total Public Shareholding (B)= (B)(1)+(B)(2) + B (3)		207275	91612324	0		91612324	35.67			0	35.67	0	0.00	NA			69328972	



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of (VII)+(X))	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)	(XIV)		
1	Custodian/DR																	
(a)	Name of DR Holder (if Available)		0	0	0	0	0				0	0	0	0.00	NA		0	
2	Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)																	
(a)	Name of DR Holder (if Available)		0	0	0	0	0				0	0	0	0.00	NA		0	
	Total Non Promoter Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0				0	0	0	0.00	NA		0	

