

Introductory sub-table (I)(a)

Name of the Company: OSWAL GREENTECH LIMITED			
Scrip Code, Name of the scrip, class of security:			
Quarter ended: 01/07/2013 - 30/09/2013			
Partly paid-up shares:-			
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group			
Held by public	N.A	N.A	N.A
Total - A			
Outstanding convertible securities:-			
	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group			
Held by public	N.A	N.A	N.A
Total - B			
Warrants:-			
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group			
Held by public	N.A	N.A	N.A
Total - C			
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			
	256809159	100	

Statement Showing Shareholding Pattern								
			Table (I)(a)					
Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and							
1	Indian							
(a)	Individuals/ Hindu Undivided Family							
		4	51549118	51544118	20.07	20.07	0	0.00
(b)	Central Government/ State Government(s)				0.00	0.00	0	0.00
(c)	Bodies Corporate	4	113647217	113647217	44.25	44.25	0	0.00
(d)	Financial Institutions/ Banks				0.00	0.00		0.00
(e)	Any Others(Specify)				0.00	0.00		0.00
(e-i)					0.00	0.00		0.00
(e-ii)					0.00	0.00		0.00
	Sub Total(A)(1)	8	165196335	165191335	64.33	64.33	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)				0.00	0.00		0.00
b	Bodies Corporate				0.00	0.00		0.00

c	Institutions				0.00	0.00		0.00
d	Any Others(Specify)				0.00	0.00		0.00
d-i					0.00	0.00		0.00
d-ii					0.00	0.00		0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter	8	165196335	165191335	64.33	64.33	0	0.00
(B)	Public shareholding							
I	Institutions							
(a)	Mutual Funds/ UTI	9	27827	2082	0.01	0.01	0	
(b)	Financial Institutions / Banks	29	21232	4952	0.01	0.01	0	
(c)	Central Government/ State Government(s)				0.00	0.00		
(d)	Venture Capital Funds				0.00	0.00		
(e)	Insurance Companies				0.00	0.00		
(f)	Foreign Institutional Investors	18	11432837	11432837	4.45	4.45	0	
(g)	Foreign Venture Capital Investors				0.00	0.00		
(h)	Any Other (specify)				0.00	0.00		
(h-i)					0.00	0.00		
(h-ii)					0.00	0.00		
	Sub-Total (B)(1)	56	11481896	11439871	4.47	4.47		
B 2	Non-institutions							
(a)	Bodies Corporate	1103	32161156	31361104	12.52	12.52	3116993	
(b)	Individuals				0.00	0.00		
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	218667	43044000	20534258	16.76	16.76	3620	

II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	111	2665265	2514140	1.04	1.04	0	
(c)	N.R.I.(REPT & NON-REPT)	3199	1455342	531841	0.57	0.57	0	
(c-i)	Clearing House/Public Trust	1	268	268	0.00	0.00	0	
(c-ii)	Corporate Bodies - OCB				0.00	0.00		
(c-iii)	Intermedianry/Other Depository A/c				0.00	0.00		
(c-iv)	Hindu Undivided Family	831	778345	778345	0.30	0.30	1700	
(c-v)	Clearing Members	23	26552	26552	0.01	0.01	0	
(c-vi)	Qualified Foreign Investor -Individual							
(c-vii)	Qualified Foreign Investor-Corporate							
	Sub-Total (B)(2)	223935	80130928	55746508	31.20	31.20		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	223991	91612824	67186379	35.67	35.67		
	TOTAL (A)+(B)	223999	256809159	232377714	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group					0.00		0.00
2	Public					0.00		
	Sub-Total (C)	0	0	0		0	0	
	GRAND TOTAL (A)+(B)+(C)	223999	256809159	232377714		100.00	0.00	0.00

Sr.No	Notes
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(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	PANKAJ OSWAL	5000	0.00		0.00	0.00	N.A	Nil	Nil	Nil	0.00
2	OSWAL AGRO MILLS LTD	91384203	35.58		0.00	0.00	N.A	Nil	Nil	Nil	35.58
3	P C MEDIA SYSTEMS LTD	22263014	8.67		0.00	0.00	N.A	Nil	Nil	Nil	8.67
4	ABHEY OSWAL	28540318	11.11		0.00	0.00	N.A	Nil	Nil	Nil	11.11
5	ARUNA OSWAL	23003800	8.96		0.00	0.00	N.A	Nil	Nil	Nil	8.96
	TOTAL	165196335	64.33	0	0.00	0.00	0	0	0	0	64.33

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities)
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Divine Communication Systems	7020369	2.73	N.A	Nil	Nil	N.A	2.73
2	P M AIR PRODUCTS PRIVATE LTD	6831093	2.66	N.A	Nil	Nil	N.A	2.66
3	ALBULA INVESTMENT FUND LTD	3245113	1.26	N.A	Nil	Nil	N.A	1.26
4	APPU IMPEX LTD	3686208	1.44	N.A	Nil	Nil	N.A	1.44
5	BHAVANI TECHNO PROJECTS LTD	4850000	1.89	N.A	Nil	Nil	N.A	1.89
6	CLAREVILLE CAPITAL OPPORTUNITIES MASTER FUND LTD	4336635	1.69	N.A	Nil	Nil	N.A	1.69
	TOTAL	29969418	11.67	0	0	0	0	11.67

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1			0.00					
2			0.00					
3			0.00					
4			0.00					
5			0.00					
6			0.00					
7			0.00					
8			0.00					
9			0.00					
10			0.00					
TOTAL		0	0.00	0	0	0	0	0

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	PROMOTER / PROMOTER GROUP/ PUBLIC
1			0.00	
2			0.00	
3			0.00	
4			0.00	
5			0.00	
6			0.00	
7			0.00	
8			0.00	
9			0.00	
			0.00	
			0.00	
TOTAL		0	0.00	

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL		0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL			0	0.00